
CSD.Austria

Fees

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1 June 2009

Table of Contents

1	Settlement of securities transactions	6
1.1	Securities transactions between two securities accounts held by CSD.Austria (Instruction typ Internal).....	6
1.1.1	Fee per settled instruction	6
1.1.2	Fee per cancelled instruction.....	7
1.1.3	Fee per day of delay.....	7
1.2	Transactions between a counterparty having a securities account with CSD.Austria and a counterparty having a securities account with a custodian of CSD.Austria (Transaction type External)	7
1.2.1	Fee per instruction settled	7
1.2.2	Fee per cancelled instruction.....	10
1.2.3	Special third party expenses.....	10
1.3	Physical delivery and withdrawal (Instruction type Physical and Change Global Certificate).....	10
1.3.1	Delivery and withdrawal of securities from OeKB Vault.....	11
1.3.2	Delivery and withdrawal of securities to/from foreign custodian	11
1.4	Settlement of Transactions concluded on the Vienna Stock Exchange.....	11
2	Securities safekeeping and administration	12
2.1	Securities safekeeping.....	12
2.1.1	Safekeeping fee for primary securities accounts.....	12
2.1.1.1	Minimum safekeeping fee	12
2.1.1.2	Volume dependent fees.....	12
2.1.1.2.1	Securities held in safekeeping in OeKB Vault	12
2.1.1.2.2	Securities held at a foreign custodian of CSD.Austria.....	13
2.1.2	Pledge and special Securities Accounts	16
2.1.2.1	Minimum safekeeping fee	16
2.1.2.2	Volume dependent fees.....	16
2.2	Securities administration	16
2.2.1	Creation of statements of account.....	16
2.2.2	Creation of transaction reports (securities account movements)	16
2.2.3	Brokerage (Securities purchase/sale).....	16
2.2.4	Corporate actions and income.....	17
2.2.4.1	Information on corporate actions and income based on holdings	17
2.2.4.2	Settlement of corporate actions and income	17
2.2.4.2.1	Commission for collection.....	17
2.2.4.2.2	Invalidation.....	17

2.2.4.2.3	Exercise of Rights.....	17
2.2.4.2.4	Exercise of warrants (Section 13, para. 4, GTC of CSD.Austria).....	17
2.2.4.2.5	Settlement of other corporate actions and income.....	18
2.2.5	Tax Services (Section 11, para. 4, GTC of CSD.Austria)	18
2.2.5.1	Avoidance of US-Withholding tax at source	18
2.2.5.2	Calculation, retention and payment of US-Withholding tax	18
2.2.6	General Meetings.....	18
2.2.6.1	Issuance of depository receipts and blocking confirmations (Section 13, para. 1, GTC of CSD.Austria)	18
2.2.6.2	Proxy voting (Section 13, para. 2, GTC of CSD.Austria)	18
2.2.7	Research Instructions	19
2.2.8	Confirmation of holdings for investment companies, investment trusts, custodian banks etc.....	19
3	Connection to and communication with CSD.Austria's application systems (DS.A, CUNO, CSD.Austria-online)	20
3.1	Dialog access via online-client	20
3.2	SWIFT communication	20
3.2.1	Via SWIFT network.....	20
3.2.2	Via leased line.....	20
4	Examples	21
4.1	Settlement of OTC transactions (Item 1 of the fees list).....	21
	Example 1:.....	21
	Example 2:.....	22
	Example 3:.....	22
	Example 4:.....	22
	Example 5:.....	23
	Example 6:.....	23
	Example 7:.....	23
4.2	Securities safekeeping and administration (Item 2 of the fees list)	25
4.2.1	OeKB Vault (Item 2.1.1.2.1 of the fees list).....	25
4.2.1.1	Examples of calculations for individual securities positions in collective custody on primary securities accounts.....	25
	Example 1:.....	25
	Example 2:.....	25
	Example 3:.....	26
4.2.1.2	Examples of calculations for yearly rebates:.....	27
	Example 1:.....	27
	Example 2:.....	27

4.2.2	Securities held by CSD.Austria with a foreign custodian (Item. 2.1.1.2.2 of the fees list).....	28
4.2.2.1	Examples of calculations for individual securities holdings an primary securities accounts.....	28
	Example 1:.....	28
	Example 2:.....	28
4.3	Connection to and communication with the IT systems of CSD.Austria (DS.A, CUNO, CSD.Austria online) (Item 3 of the fees list)	29
4.3.1	SWIFT communication	29
4.3.1.1	Example of calculation for SWIFT communication via the SWIFT network	29
4.3.1.2	Example of calculation for SWIFT communication via leased line	30

1 Settlement of securities transactions

The fees are invoiced once a month.

1.1 Securities transactions between two securities accounts held by CSD.Austria (Instruction type Internal)

1.1.1 Fee per settled instruction

Total no. of Internal instructions per month		IDF,IRF	IDVP,IRVP					
		Between securities accounts of different securities accounts holder	Payment via giro account with OeKB			Payment in central bank money via account with OeNB		
From	To	Aggregate fee per instruction	Fee for securities leg	Fee for cash leg	Aggregate fee per instruction	Fee for securities leg	Payment for SWIFT between OeKB and OeNB plus fee for OeKB to OeNB	Aggregate fee per instruction
0	8.350	1.85	0	0.10	1.95	1.85	1.40 ¹ (subject to alteration by OeNB)	3.25
8.351	16.650	1.60	8.351		1.70	1.60		3.–
16.651	25.000	1.35	16.651		1.45	1.35		2.75
25.001	33.300	1.10	25.001		1.20	1.10		2.50
33.301		0.85	33.301		0.95	0.85		2.25

IDF, IRF between own securities accounts	
Aggregate fee per instruction	0.85

¹ OeNB charges OeKB monthly fixed fees plus transaction fees incurred in connection with the cash settlement of securities transactions via OeNB (hoam.at). The actual amount of these transaction fees depends on the number of transactions. On the basis of the expected number of transactions CSD.Austria will select OeNB's least cost-intensive fee schedule.

In addition SWIFT charges fees to OeKB for the SWIFT MTs exchanged between OeKB and OeNB. The actual fee amount depends in each case on whether the counterparty also settles the cash leg via an OeNB cash account or via an OeKB cash account.

For reasons of transparency and manageability OeKB calculates an average fee per instruction of a CSD.Austria customer wishing to settle the cash leg via his account with OeNB, regardless of the configuration of the individual transaction concerned. This average fee will be revised where necessary depending on the amount of transactions (to account for a change to another OeNB fee schedule and changes in the amount of SWIFT fees).

1.1.2 Fee per cancelled instruction

Per order cancelled after a (pre)matching of instructions against payment	10.80/counterparty
Per order cancelled after a (pre)matching of instructions free of payment	10.80/delivering counterparty

1.1.3 Fee per day of delay

Per day of delay, for party causing delay:	18.–
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1.2 Transactions between a counterparty having a securities account with CSD.Austria and a counterparty having a securities account with a custodian of CSD.Austria (Instruction type External)

1.2.1 Fee per instruction settled

Held in CSD.Austria via		Counterparty with securities account at/in	Fee for securities leg	Fee for cash leg	
Country	Custodian			Payment via giro account with OeKB	Payment in central bank money via account with OeNB
Belgium	Euroclear Bank	Euroclear Bank	7.–	0.10	1.40
		CBL	7.–	0.10	1.40
		others see a) below		0.10	1.40
Germany	Clearstream Banking Frankfurt	Clearstream Banking Frankfurt	4.–	0.10	1.40
Luxembourg	Clearstream Banking Luxembourg	Clearstream Banking Luxembourg	4.–	0.10	1.40
		Euroclear Bank	4.–	0.10	1.40
		others see b) below		0.10	1.40
France	Euroclear France	Euroclear France	8.–	-	-
Italy	Monte Titoli	Monte Titoli	8.–	-	-
Croatia	Zagrebacka Banka	Croatia	35.–	-	-
Luxembourg	Credit Agricole Indosuez ^{c)}	Credit Agricole Indosuez	25.–	-	-

Held in CSD.Austria via		Counterparty with securities account at/in	Fee for securities leg	Fee for cash leg	
Country	Custodian			Payment via giro account with OeKB	Payment in central bank money via account with OeNB
Netherlands	Euroclear Netherlands	Euroclear Netherlands	8.–	-	-
Austria	Bank-Austria	Russia	240.–	-	-
		Romania	100.–	-	-
		Ukraine	220.–	-	-
		Bulgaria	120.–	-	-
		Kazakhstan	240.–	-	-
Russia	ING Bank	Russia	120.–	-	-
Switzerland	SegalInterSettle	SegalInterSettle	8.–	-	-
Slovak Republic	Ceskoslovenska obchodna banka	Slovak Republic	79.–		
Czech Republic	Ceskoslovenska obchodni banka Czech Republic	29.–			
Hungary	KELER Rt.	KELER Rt.	25.–	-	-

Explanation of references a), b) and c) in the table above:

a)

Fees for custodian Euroclear Bank for external transactions	
Country	Bonds
Argentina	38.–
Belgium	20.–
Denmark	26.–
Finland	20.–
France	23.–
Greece	62.–
Italy	20.–
Japan	14.–
Netherlands	20.–
Portugal	20.–
Sweden	20.–
U.S.A.	14.–

Other countries and securities categories on request.

b)

Fees for custodian Clearstream Banking Luxemburg for external transactions			
Country	Bonds	Shares	Investment Funds
Argentina	49.–	49.–	-
Australia	44.–	54.–	-
Belgium	20.–	35.–	35.–
China	-	135.–	-
Denmark	30.–	30.–	30.–
Germany	-	-	14.–
Dubai	-	35.–	-
Estonia	85.–	85.–	-
Finland	30.–	35.–	-
France	25.–	20.–	20.–
Greece	70.–	105.–	-
Great Britain	20.–	20.–	20.–
Hong Kong	45.–	105.–	105.–
Indonesia	95.–	100.–	-
Ireland	12.–	15.–	15.–
Iceland	75.–	-	-
Italy	20.–	35.–	35.–
Japan	33.–	25.–	-
Canada	20.–	25.–	25.–
Croatia	40.–	40.–	-
Malaysia	95.–	105.–	-
Mexico	50.–	50.–	-
New Zealand	30.–	49.–	-
Netherlands	20.–	25.–	25.–
Norway	35.–	35.–	-
Poland	105.–	105.–	-
Portugal	25.–	45.–	-
Russia	59.–	69.–	-
Sweden	29.–	45.–	-
Switzerland	25.–	25.–	25.–
Singapore	95.–	100.–	105.–

Fees for custodian Clearstream Banking Luxemburg for external transactions			
Country	Bonds	Shares	Investment Funds
Slovak Republic	125.–	135.–	-
Spain	25.–	39.–	-
South Africa	20.–	29.–	-
South Korea	100.–	100.–	-
Thailand	79.–	79.–	-
Czech Republic	35.–	35.–	-
Turkey (only delivery)	35.–	40.–	-
Hungary	60.–	60.–	-
Uruguay	70.–	-	-
U.S.A.	15.–	11.–	11.–
Cyprus	-	105.–	-
International/other	58.–	36.–	31.–

c)

Subscription and redemption of Blue Danube Funds.

1.2.2 Fee per cancelled instruction

Custodian	Fee
Euroclear Bank	16.–
Clearstream Banking Luxemburg	Cancellation fee corresponds to the instruction fee as specified in table 1.2.1 b)
All others	7.–

1.2.3 Special third party expenses

Special third party expenses such as, for example, stamp duty or registration fees are passed on to the client.

1.3 Physical delivery and withdrawal (Instruction type Physical and Change Global Certificate)

Fees are plus expenses for shipment, insurance and registration.

1.3.1 Delivery and withdrawal of securities from OeKB Vault

Delivery of securities on the occasion of a new issue by the lead manager of the issue	free of charge
The first withdrawal (first issue) in the case of actual securities certificates within the period of time defined for withdrawals	free of charge
Securities represented in global certificates or temporary global certificates in the case of individual security certificates already being held in safekeeping by CSD.Austria <ul style="list-style-type: none"> ▪ For securities with a nominal value ▪ for securities quoted in units , subscription rights and adjustment coupons 	0.03% of nominal value 1.20 per fraction of 200 shares <ul style="list-style-type: none"> ▪ min. 7.–/category ▪ max. 200.–/category for delivery ▪ max. 300.–/category for withdrawal
Securities represented in global certificates or temporary global certificates as long as CSD.Austria does not yet hold any individual securities certificates in safekeeping	7.–/category
Increase/reduction of changeable global certificates and temporary global certificates (DGC/IGC)	5.–/change per category max. 500.– p.a./category (plus any third party charges)

1.3.2 Delivery and withdrawal of securities to/from foreign custodian

Held in CSD.Austria via		Fee
Country	Custodian	
Germany	Clearstream Banking Frankfurt	50.–
Luxembourg	Clearstream Banking Luxemburg	50.–
Netherlands	Euroclear Netherlands	50.–
U.S.A.	Brown Brothers Harriman	58.–
Cyprus	Marfin Popular Bank (only withdrawal)	100.–
Other	Other	by arrangement

1.4 Settlement of Transactions concluded on the Vienna Stock Exchange

Charged by Wiener Börse AG (Vienna Stock Exchange) as part of an “all-inclusive“ fee.

2 Securities safekeeping and administration

2.1 Securities safekeeping

The following safekeeping fees apply per annum; the fees are invoiced at the end of every month on the basis of the balance of the securities account at the end of the month.

2.1.1 Safekeeping fee for primary securities accounts²

2.1.1.1 Minimum safekeeping fee

960. – per securities account per year

2.1.1.2 Volume dependent fees

2.1.1.2.1 Securities held in safekeeping in OeKB Vault³

Securities with a nominal value <ul style="list-style-type: none">▪ Individual certificates▪ Securities in the form of temporary global certificates and/or partial global certificates (as long as CSD.Austria does not hold any individual certificates in safekeeping) as well as global certificates	0.02% of the nominal value 0.01% of the nominal value max. 800.–/category
Securities quoted in units (exception: investment funds) <ul style="list-style-type: none">▪ Individual certificates▪ Securities in the form of temporary global certificates and partial global certificates (as long as CSD.Austria does not yet hold any individual certificates in safekeeping) as well as global certificates	1.20 for every fraction of 200 no-par-value securities 0.60 for every fraction of 200 no-par-value securities max. 800.–/category
Mutual funds	0.60 for every fraction of 200 no-par-value securities max. 800.– per category

² Securities accounts where the last two digits are 00. This fee also applies to the pledge account where the last two digits are 90 (“intraday collateral facility”).

³ The prices listed do not apply to securities held in individual custody. These should be held in safekeeping on special securities accounts. If they are nevertheless held on a primary securities account the fee rates for pledge and special securities accounts are applicable as described under 2.1.2.

Schedule of discounts				
Annual sum of depository fees	Sliding scale	Bonus rates	Reimbursements up to	Cumulated reimbursement up to
0.– to 100.000.–	100.000.–	0%	0.–	0.–
100.001.– to 300.000.–	200.000.–	10%	20.000.–	20.000.–
300.001.– to 700.000.–	400.000.–	35%	140.000.–	160.000.–
700.001.– to 1.000.000.–	300.000.–	60%	180.000.–	340.000.–
over 1.000.000.–		85%		

Reimbursement for a given calendar year is remitted in January of the subsequent year.

2.1.1.2.2 Securities held at a foreign custodian of CSD.Austria

Held in CSD.Austria via		Type of security	Safekeeping
Country	Custodian		
Belgium	Euroclear Bank	Category A ^{a)}	0.03% of market resp. nominal value
		Category B ^{a)}	0.08% of market resp. nominal value
		Category C ^{a)}	0.18% of market resp. nominal value
Germany	Clearstream Banking Frankfurt	Bonds in collective custody	0.0083% from nominal value
		Equities and the like in collective custody	0.0054% of market value
Luxembourg	Clearstream Banking Luxemburg	Category A ^{b)}	0.035% of market resp. nominal value
		Category B ^{b)}	0.045% of market resp. nominal value
		Category C ^{b)}	0.07% of market resp. nominal value
		Category D ^{b)}	0.11% of market resp. nominal value
		Category E ^{b)}	0.18% of market resp. nominal value
		Category F ^{b)}	0.30% of market resp. nominal value
		Category G ^{b)}	0.42% of market resp. nominal value
Category H ^{b)}	0.47% of market resp. nominal value		
France	Euroclear France	Securities with a nominal value	0.0083% of nominal value
		Securities quoted in units	1.50 for every fraction of 200 units
Italy	Monte Titoli	Securities with a nominal value	0.0083% of nominal value
		Securities quoted in units	0.015 % of market of market value

Held in CSD.Austria via		Type of security	Safekeeping
Country	Custodian		
Croatia	Zagrebacka Banka	Securities with a nominal value and Securities quoted in units	0.96% of market value
Luxembourg	Credit Agricole Indosuez	exclusively Blue Danube Investment funds	0.15% of market value
Netherlands	Euroclear Netherlands	Securities with a nominal value	0.0083% of nominal value
		Securities quoted in units	1.50 for every fraction of 200 units
Austria	Bank Austria Creditanstalt	Russia	0.8 % of market value
		Romania	0.6 % of market value
		Ukraine	2 % of market value
		Bulgaria	0.8 % of market value
Russia	ING Barings Bank	Equities and bonds	0.42% of market value
Belgium	Euroclear Bank	Category A ^{a)}	0.03% of market resp. nominal value
Switzerland	SIS SegalInterSettle	Securities with a nominal value	0.024% of nominal value
		Securities quoted in units	1.50 for every fraction of 200 pieces
Slovak Republic	Ceskoslovenska Obchodna Banka	Securities with a nominal value and securities quoted in units	0.24% of market value
Czech Republic	Ceskoslovenska Obchodni Banka	Securities with a nominal value and securities quoted in units	0.21% of market value
Hungary	KELER Rt	Securities with a nominal value and securities quoted in units	0.16% of market value
		Austrian Depository Certificate	0.15% of market value
U.S.A.	Brown Brothers Harriman	Securities with a nominal value and Securities quoted in units	0.11% of market value
		Austrian Depository Certificate	0.018% of market value

Held in CSD.Austria via		Type of security	Safekeeping
Country	Custodian		
Cyprus	Marfin Popular Bank	Securities with a nominal value and Securities quoted in units	0.4 for every fraction of 200 pieces
Other	Other	Austrian Depository Certificates	0.15% of market value, max. 250.– p.m.
		Other securities	to be agreed upon; third party charges applied to CSD.Austria will be passed on

Explanation of references in the preceding table:

a)

Information on categories at CSD Euroclear	
Category	Issuing countries
A	All securities originating from issuing countries which are not listed separately
B	Finland, Greece, Hong Kong, Indonesia, Malaysia, Mexico, Norway, Philippines, Portugal, Singapore, Thailand
C	Argentina, Russia

b)

Information on categories at CSD Clearstream Banking Luxembourg	
Category	Issuing countries
A	Applies to all bonds and warrants issued in those countries which are not listed separately
	USA, South Africa
B	All equities and mutual funds warrants issued in those countries which are not listed separately
	Japan (for government bonds)
C	Sweden, Norway, Japan (all except government bonds)
D	Australia, Greece (bonds), Hong Kong, Singapore
E	Estonia, Indonesia, New Zealand (equities), Thailand (bonds), Czech Republic, Turkey, Hungary
F	Argentina, Malaysia, South Korea, Slovak Republic, Thailand (equities), Mexico
G	China, Poland (bonds)
H	Greece (equities), Poland (equities)

2.1.2 Pledge and special Securities Accounts⁴

2.1.2.1 Minimum safekeeping fee

7.– per securities account per year

2.1.2.2 Volume dependent fees

Individual custody	0.075% of price, min. 0.125/month per category
Government bonds as well as federal treasury bonds (Bundesobligationen) under global custody, issued in 1998 or before	0.05% of price, min. 0.125/month max. 330.–/month per category
Other securities held under global custody	0.05% of price, min. 0.125/month per category
Securities held in fiduciary collective custody	0.275% of price, min. 0.125/month per category

2.2 Securities administration

2.2.1 Creation of statements of account

Statement of account via SWIFT (MT535)	free of charge
Statement of account via mail	free of charge

2.2.2 Creation of transaction reports (securities account movements)

Transaction report via SWIFT (MT536)	free of charge
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2.2.3 Brokerage (Securities purchase/sale)

Domestic/foreign	
▪ Bonds and investment certificates	0.4% of price ⁵ / min. 3.–
▪ Equities, warrants and profit-sharing certificates	0.7% of price ⁴ / min. 5.–
▪ Subscription rights	0.7% of price ⁴

⁴ Securities accounts where the last two digits are neither 00 nor 90.

⁵ Exclusive third party charges.

2.2.4 Corporate actions and income

2.2.4.1 Information on corporate actions and income based on holdings

This service is free of charge.

2.2.4.2 Settlement of corporate actions and income

2.2.4.2.1 Commission for collection

This fee is to be paid by the paying agent.

For securities in global certificates	10.- per case
For securities in temporary global certificates without individual certificates	10.- per case
For securities in temporary global certificates and individual certificates	17.- per case
For securities in individual certificates	0.1% of the cash counter-value on capital and coupon payments

2.2.4.2.2 Invalidation

This fee is to be paid by the paying agent.

For each set of 10 coupons (sheet)	0.04
Per certificate document or coupon sheet	0.04
Per certificate document with attached coupon sheet	0.05
Delivery of record of invalidation	7.-

2.2.4.2.3 Exercise of Rights

Per purchase	07% of price ⁶ / min. 10.– max. 1,500.–
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2.2.4.2.4 Exercise of warrants (Section 13, para. 4, GTC of CSD.Austria)

Per exercise	11.– ⁶
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⁶ plus third party charges

2.2.4.2.5 Settlement of other corporate actions and income

This service is free of charge.

2.2.5 Tax Services (Section 11, para. 4, GTC of CSD.Austria)

2.2.5.1 Avoidance of US-Withholding tax at source

US-Withholding tax for QIs	free of charge
Hungary / Keler (only for corporates)	free of charge
Italy / Monte Titoli (only bonds)	free of charge
Austria for special cases (e.g. affiliation privilege / participation exemption)	free of charge

2.2.5.2 Calculation, retention and payment of US-Withholding tax

US-Withholding tax for non-QIs	80.–per dividend distribution and security
Others	free of charge

2.2.6 General Meetings

2.2.6.1 Issuance of depository receipts and blocking confirmations (Section 13, para. 1, GTC of CSD.Austria)

Per depository receipt and blocking confirmation	30.–
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2.2.6.2 Proxy voting (Section 13, para. 2, GTC of CSD.Austria)

The fee for this service depends on distance / accessibility of the place of the annual general meeting from CSD.Austria (5 categories). The detailed allocation per ISIN is available on our website under www.oekb.at/en/capital-market/csd.

Category	Fee per voting card issued
1	80.–
2	150.–
3	240.–
4	550.–
5	650.–

2.2.7 Research Instructions

For research concerning incidents that took place more than three months prior to the current date

- a) a fee of 10.– will be charged per ISIN and/or request
- b) an hourly rate of 50.– will be charged for requests involving extensive investigations.

2.2.8 Confirmation of holdings for investment companies, investment trusts, custodian banks etc.

Customer holdings reports which are checked and confirmed by CSD.Austria then forwarded to an investment company, investment trust or custodian bank.	25.– per holdings report
Holdings reports which are generated and confirmed by CSD.Austria then forwarded to an investment company, investment trust or custodian bank.	15.– per holdings report, for up to 9 reports per month 10.– per holdings report for 10 or more reports per month

3 Connection to and communication with CSD.Austria's application systems (DS.A, CUNO, CSD.Austria-online)

3.1 Dialog access via online-client

For dialog access to the application systems of CSD.Austria (DS.A, CUNO, CSD.Austria online) an RSA SecurID key fob is required per user.

User	Fee per user per month
First and second users	0.–
Each further user	40.–

The fee also includes the costs of providing the RSA SecurID KeyFob.

3.2 SWIFT communication

3.2.1 Via SWIFT network

Fee for the technical processing of SWIFT MTs.

Per message (SWIFT MT inbound or outbound)	0.12
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To cover costs of SWIFT fees charged to OeKB.

All SWIFT MTs except MT 535, MT 536 and MT 548	
For recipients with an Austrian BIC	0.0737 pro chargeable unit
For recipients with a non-Austrian BIC	0.1926 pro chargeable unit

For SWIFT MT 535, MT 536 and MT 548	
Regardless of the recipient's BIC	0.0506 pro chargeable unit

3.2.2 Via leased line⁷

One-off fee for installation	15.000.–
Fixed monthly fee per BIC	3.000.–
Fee per outbound message	0.0265 (SWIFT MT)

⁷ Costs for the leased line itself and infrastructure costs are met by the customer of CSD.Austria

4 Examples

4.1 Settlement of OTC transactions (Item 1 of the fees list)

The examples listed are based on the following assumptions:

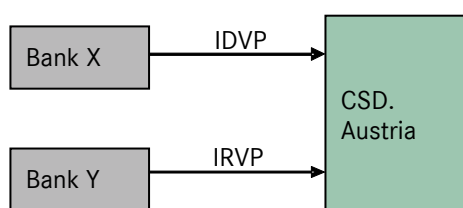
- Bank X: Securities account holder at CSD.Austria (payments made via giro account with OeKB)
- Bank Y: Securities account holder at CSD.Austria (payments in central bank money via an account with OeNB)
- CS 1: Securities account holder at CSD.Austria and custodian of CSD.Austria (CSD.Austria holds securities in safekeeping via CS 1)
- Security AT123: Security held in OeKB's vault
- Security XX123: Security held by OeKB via custodian CS 1
- Bank A: Securities account holder at CS 1

Example 1:

*Transaction fees for delivery against payment of security AT123 by Bank X to Bank Y; if in the month in question no more than 8,350 instructions have been settled for either Bank X or Y.
(DS.A Instruction type INTERNAL, IDVP/IRVP)*

Bank X: 1.95 (1.85 + 0.10)

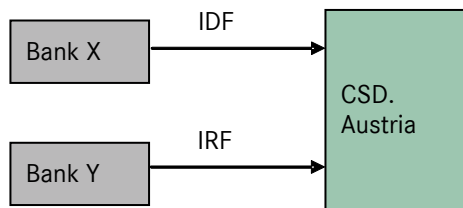
Bank Y: 2.98 (1.85 + 1.40)



Example 2:

Transaction fees for delivery free of payment of security XX123 by Bank X to Bank Y; if in the month in question no more than 8,350 instructions have been settled for either Bank X or Y. (DS.A Instruction type INTERNAL, IDF/IRF)

Bank X: 1.85
Bank Y: 1.85



Explanation: If both partners in the transaction are OeKB securities account holders then a fee of 1.85 is charged even if the security is held in safekeeping with a custodian.

Example 3:

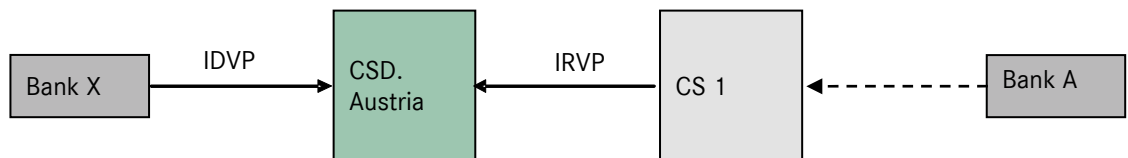
Transaction fees for delivery free of payment of security XX123 from the primary account of Bank X to a sub-account of BANK X (DS.A Instruction type INTERNAL, IDF/IRF)

Bank X: 0.85

Example 4:

Transaction fees for delivery against payment of security AT123 from Bank X to CS 1; with ultimate beneficiary Bank A ; if in the month in question no more than 8,350 instructions have been settled for either Bank X or CS 1. (DS.A Instruction type INTERNAL, IDVP/IRVP)

Bank X: 1.95 (1.85+ 0.10)
CS 1: 1.95 (1.85 + 0.10)



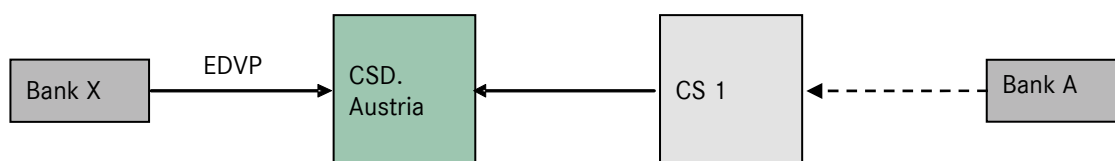
Explanation: In this case too both partners in the transaction are securities account holders with OeKB. It is irrelevant whether behind the transaction partner there is an ultimate beneficiary (who is also validated and matched).

Example 5:

Transaction fees for delivery against payment of security XX123 from Bank X to CS 1 with ultimate beneficiary Bank A (DS.A Instruction type EXTERNAL, EDVP)

Fees for Bank X depend on CS 1; concrete examples:

- CS 1 = Clearstream Banking Frankfurt (CBF): 4.10 (4.- + 0.10)
- CS 1 = Brown Brothers Harriman: 16.10 (16.- + 0.10)



Example 6:

Transaction fees for delivery free of payment of security XX123 from Bank X to CS 1 with an ultimate beneficiary in the local market (of security XX123), the ultimate beneficiary not receiving security XX123 directly on a securities account held with custodian CS 1 (DS.A Instruction type EXTERNAL, EDF)

Fees for Bank X dependent on CS 1 and the local market; concrete examples:

- CS1 = Clearstream Banking Luxembourg, local market = Sweden: 29.-
- CS1 = Clearstream Banking Luxembourg, local market = China: 135.-

Example 7:

Monthly settlement / rebate for Bank X

Assumptions:

1st Number of settled instructions p.m. with transaction partners having a securities account with OeKB (examples 1,2 and 4):

- a) free of payment: 10,000
- b) versus payment: 17,000 (payments via OeKB giro accounts)

2nd Number of settled instructions p.m. between 2 securities accounts of Bank X (example 3): 100

Calculation:

1st Fees for securities legs (between securities accounts of different securities account holders)

When using the rebate table both instructions free of payment and those versus payment are taken into account (1a) and 1 b)); $10,000 + 17,000 = 27,000$.

From	To	Number(Bank X)	Fee for securities leg per instruction	Aggregate Fee for securities legs
0	8,350	8,350	1.85	15,447.50
8,351	16,650	8,300	1.60	13,280.00
16,651	25,000	8,350	1.35	11,272.50
25,001	33,300	2,000	1.10	2,200.00
33,301		0	0.85	
Total		27,000		42,200.-

2nd Fees for securities legs (between own securities accounts)

100 instructions (50 transactions) were settled: $100 \times 0.85 = 85.-$

3rd Fees for cash legs

17,000 instructions versus payment (via OeKB cash accounts) settled: $17,000 \times 0,1 = 1,700.$

Total:

1)	Fees for securities settlement (between securities accounts of different securities account holders):	42,200.-
2)	Fees for securities settlement (between own securities accounts):	85.-
3)	Fees for cash settlement:	1,700.-
MONTHLY FEE		43,985.-

4.2 Securities safekeeping and administration (Item 2 of the fees list)

4.2.1 OeKB Vault (Item 2.1.1.2.1 of the fees list)

4.2.1.1 Examples of calculations for individual securities positions in collective custody on primary securities accounts

Example 1:

Nominal value security represented by individual certificates

Key data for security:

- ISIN: AT0000133681
- nominal value security
- nominal currency: EUR
- represented by individual certificates

Holdings:

- Nominal value EUR 2,000,000.-

Calculation:

Fee p.a. = Nominal value in EUR * 0.02 / 100 =
= 2,000,000 * 0.02 / 100 = 400.-

Fee p.m. = Fee p.a. / 12 =
= 400 / 12 = 33.33

Example 2:

Nominal value security represented by global certificate

Key data for security:

- ISIN: AT0004008418
- nominal value security
- nominal currency: ATS
- represented by global certificate

Holdings:

- Nominal value ATS 540.000.000,- (conversion rate ATS -> EUR: 13.7603)

Calculation:

$$\begin{aligned}\text{Fee p.a.} &= \text{MIN}(800; \text{nominal value in EUR} * 0.01/100) = \\ &= \text{MIN}(800; 540,000,000/13.7603 * 0.01/100) = 800\end{aligned}$$

$$\begin{aligned}\text{Fee p.m.} &= \text{fee p.a.} / 12 = \\ &= 800 / 12 = 66.67\end{aligned}$$

Example 3:

Unit securities represented by global certificate

Key data for security:

- ISIN: AT0000706759
- unit security
- represented as global certificate

Holdings:

- 620 units

Calculation:

$$\begin{aligned}\text{Fee p.a.} &= \text{MIN}(800; \text{ROUND UP}(\text{Holdings}/200) * 0.60) = \\ &= \text{MIN}(800; \text{ROUND UP}(620/200) * 0.60) = 2.4\end{aligned}$$

$$\begin{aligned}\text{Fee p.m.} &= \text{Fee p.a.} / 12 = \\ &= 2.4 / 12 = 0.2\end{aligned}$$

4.2.1.2 Examples of calculations for yearly rebates:

Example 1:

Annual securities account fee for securities held in CSD.Austria's vault: 310,000.-

Calculation:

From	To	Annual Securities Account Fee in EUR	Rebate rate	Rebate in EUR
0	100,000	100,000.-	0%	0.-
100,001	300,000	200,000.-	10%	20,000.-
300,001	700,000	10,000.-	35%	3,500.-
700,001	1,000,000	0.-	60%	0.-
1,000,001		0.-	85%	0.-
TOTAL		310,000.-		23.500.-

The annual rebate amounts to: 23,500.-

Example 2:

Annual securities account fee for securities held in CSD.Austria's vault: 1,800,000.-

Calculation:

From	To	Annual securities account fee in EUR	Rebate rate	Rebate in EUR
0	100,000	100,000.-	0%	0.-
100,000	300,000	200,000.-	10%	20,000.-
300,000	700,000	400,000.-	35%	140,000.-
700,000	1,000,000	300,000.-	60%	180,000.-
1,000,000		800,000.-	85%	680,000.-
TOTAL		1,800,000.-		1,020,000.-

The annual rebate amounts to: 1,020,000.-

4.2.2 Securities held by CSD.Austria with a foreign custodian (Item. 2.1.1.2.2 of the fees list)

4.2.2.1 Examples of calculations for individual securities holdings an primary securities accounts

Example 1:

Stock held with foreign custodian Clearstream Banking Luxembourg

Key data for securities:

- ISIN: AU000000BSGO
- Stock
- Country of issue: Australia

Holdings:

- Units: 6,500
- Held by CSD.Austria with foreign custodian: Clearstream Banking Luxembourg
- Price in EUR: 1.64

Calculation:

$$\begin{aligned}\text{Fee p.a.} &= (\text{Price in EUR}) * (\text{Fee rate CBL category D}) / 100 = \\ &= (6,500 * 1.64) * 0.11 / 100 = 11.73\end{aligned}$$

$$\begin{aligned}\text{Fee p.m.} &= \text{Fee p.a.} / 12 = \\ &= 11.73 / 12 = 0.98\end{aligned}$$

Example 2:

Bond held with foreign custodian Monte Titoli

Key data for security:

- ISIN: IT0003563357
- bond

Holdings:

- Nominal value: EUR 30,000.-
- Held by CSD.Austria with foreign custodian: Monte Titoli

Calculation:

Fee p.a. = Nominal value * (Fee rate Monte Titoli (nominal value)) / 100 =
 = 30,000 * 0.0083 / 100 = 2.49

Fee p.m. = Fee p.a. / 12 =
 = 2.49 / 12 = 0.21

4.3 Connection to and communication with the IT systems of CSD.Austria (DS.A, CUNO, CSD.Austria online) (Item 3 of the fees list)

4.3.1 SWIFT communication

4.3.1.1 Example of calculation for SWIFT communication via the SWIFT network

Key data:

- Customer instruction MT 542 to OeKB: 0.12 infrastructure fee
- Status information MT 548 (size: 1 chargeable unit) to customer (domestic):
 - 0.12 infrastructure fee
 - 0.0506 SWIFT fee
- Settlement confirmation MT 546 (size: 1 chargeable unit) to customer (domestic):
 - 0.12 infrastructure fee
 - 0.0737 SWIFT fee

Calculation:

MT 542	0.12
MT 548:	0.1706
MT 546:	0.1937
Total:	0.4843

4.3.1.2 Example of calculation for SWIFT communication via leased line

Key data:

- Customer instruction MT 542 to OeKB: 0.00 infrastructure fee
- Status information MT 548 (size: 1 chargeable unit) to customer (domestic):
 - 0.0265 infrastructure fee
- Settlement confirmation MT 546 (size: 1 chargeable unit) to customer (domestic):
 - 0.0265 infrastructure fee

Calculation:

MT 548:	0.0265
MT 546:	0.0265
Total:	0.0530

Note: In addition to the transaction-dependent fees fixed monthly costs of 3.000 will be charged.



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