
CSD.Austria

*MT 536 – Statement of
Transactions*

CSD.Austria Securities Movements

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Inhalt

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1 Securities Transactions

Information on transactions on CSD.A Securities Accounts can be provided daily. It will be provided for those banks receiving the statement of transactions via SWIFT.

1.1 Description of the statement of transactions (SWIFT MT 536)

M/O	Tag	Field Name	Qualifier	Option	Format	Codes	Description
Mandatory Sequence A General Information							
M	16R	Start of Block			16c	GENL	
M	28E	Page Number/ Continuation Indicator			5n/4!c	LAST	Last MT536 message of the statement.
						MORE	More MT536 messages are following.
						ONLY	The statement consists only of one MT536 message.
M	20C	Sender's Message Reference	SEME		:4!c//16x		CSD.A's unambiguously reference.
M	23G	Function of the Message			4!c/4!c]	NEWM	New statement
M	98C	Preparation Date/Time	PREP		4!c//8!n/8!n		Date/time at which the message was prepared.
M	69A	Statement Period			4!c//8!n/8!n	STAT	Period on which the statement is based.
O	22F	Statement Frequency Indicator	SFRE		:4!c//4!c	DAIL	Daily statement.
						ADHO	Ad hoc statement.
O	22F	Complete/Updates Indicator	CODE		:4!c//4!c	COMP	The statement contains all transactions for the statement period.
M	22F	Statement Basis	STBA		:4!c//4!c	SETT	The statement contains settled transactions.
M	97A	Safekeeping Account	SAFE		:4!c//35x		6-digit CSD.A customer main safekeeping account number.
M	17B	Activity Flag	ACTI		:4!c//1!a	Y	There are transactions reported in this statement.
						N	There are no transactions reported in this statement.

M/O	Tag	Field Name	Qualifier	Option	Format	Codes	Description
M	17B	Sub-safekeeping Statement Flag	CONS		:4!c//1!a	Y	This report includes transactions at sub-account level.
						N	This report doesn't include transactions at sub-account level.
M	16S	End of Block			16c	GENL	
End of Sequence A General Information							
Repetitive Optional Sequence B Sub-safekeeping Account							
M	16R	Start of Block			16c	SUBSAFE	
O	97A	Safekeeping Account			:4!c//35x		6-digit CSD.A safekeeping account number of the customer.
O	17B	Activity Flag	ACTI		:4!c//1!a	Y	There are transactions for the a.m. sub-account reported in this statement.
						N	There are no transactions for the a.m. sub-account reported in this statement.
Repetitive Optional Subsequence B1 Financial Instrument							
M	16R	Start of Block			16c	FIN	
M	35B	Identification of the Financial Instrument			[ISIN1!e12!c]		'ISIN' followed by <Blank> and ISIN Code.
					[4*35x]		Description of the financial instrument.
O	93B	First Opening Balance	FIOP		:4!c//4!c/[N]15d	FAMT	Initial CSD.A holding at the beginning of the statement period expressed as face value.
						UNIT	Initial CSD.A holding at the beginning of the statement period expressed as number of shares.
O	93B	Final Closing Balance	FICL		:4!c//4!c/[N]15d	FAMT	Final CSD.A holding at the end of the statement period expressed as face value.
						UNIT	Initial CSD.A holding at the end of the statement period expressed as number of shares.
Repetitive Mandatory Subsequence B1a Transaction							

M/O	Tag	Field Name	Qualifier	Option	Format	Codes	Description
M	16R	Start of Block			16c	TRAN	
Repetitive Mandatory Subsequence B1a1 Linkages							
M	16R	Start of Block			16c	LINK	
M	20C	Related Message Reference			:4!c//16x	RELA	Customer reference or CSD.A reference of the transaction.
End of Subsequence B1a1 Linkages							
Optional Subsequence B1a2 Transaction Details							
M	16R	Start of Block			16c	TRANSDET	
M	36B	Posting Quantity	PSTA		:4!c//4!c/15d	FAMT	Quantity of financial instrument posted to the safekeeping account expressed as face amount.
						UNIT	Quantity of financial instrument posted to the safekeeping account expressed as amount of shares.
O	19A	Posting Amount	PSTA		4!c//3!a15d		Settlement amount of the transaction, ISO currency code followed by cash value.
M	22F	Transaction Indicator	TRAN		:4!c/[8c]/4!c	SETT	The transaction relates to settlement and clearing.
						CORP	The transaction relates to corporate action.
M	22H	Receive/Deliver Indicator	REDE		:4!c//4!c	DELI	The transaction is a delivery.
						RECE	The transaction is a receipt.
M	22H	Payment Indicator	PAYM		:4!c//4!c	APMT	The transaction is versus payment.
						FREE	The transaction is free of payment.
O	22F	CCP Eligibility Indicator	CCPT		:4!c//4!c	YCCP	The transaction was initiated by CCP.A.
M	98A	Effective Settlement Date	ESET		:4!c//8!n		Date at which a transaction effectively settled.
O	25D	Movement Status	MOVE		:4!c/[8c]/4!c	REVE	Reversal of a previously reported transaction.
Repetitive Optional Subsequence B1a2a Settlement Parties							
M	16R	Start of Block			16c	SETPRTY	

M/O	Tag	Field Name	Qualifier	Option	Format	Codes	Description
M	95Q	Party	DEAG		4!c//4*35x		Representation of the counterparty by the 6-digit safekeeping account number.
			REAG				
M	16S	End of Block			16c	SETPRTY	
End of Subsequence B1a2a Settlement Parties							
M	16S	End of Block			16c	TRANDET	
End of Subsequence B1a2 Transaction Details							
M	16S	End of Block			16c	TRAN	
End of Subsequence B1a Transaction							
M	16S	End of Block			16c	FIN	
End of Subsequence B1 Financial Instrument							
M	16S	End of Block			16c	SUBSAFE	
End of Sequence B Sub-Safekeeping account							

1.2 Examples

1.2.1 SWIFT MT 536 with CSD.A securities transactions

```
:16R:GENL
:28E:1/ONLY
:20C::SEME//TR09343094590002
:23G:NEWM
:98C::PREP//20091206514332
:69A::STAT//20091209/20091209
:22F::SFRE//ADHO
:22F::CODE//COMP
:22F::STBA//SETT
:97A::SAFE//999900
:17B::ACTI//Y
:17B::CONS//Y
:16S:GENL
:16R:SUBSAFE
:97A::SAFE//999900
:17B::ACTI//Y
:16R:FIN
:35B:ISIN AT0000612601
INTERCELL AG AKTIEN OHNE NENNWERT
:93B::FIOP//UNIT/249,
:93B::FICL//UNIT/0,
:16R:TRAN
:16R:LINK
:20C::RELA//278569951
:16S:LINK
:16R:TRANSDET
:36B::PSTA//UNIT/250,
:19A::PSTA//6134,87
::22F::TRAN//SETT
::22H::REDE//DELI
::22H::PAYM//APMT ->OTC IDVP
:98A::ESET//20091209
:16R:SETPRTY
::95Q::REAG//225600 ->Allianz Investmentbank AG
:16S:SETPRTY
:16S:TRANSDET
:16S:TRAN
:16R:TRAN
:16R:LINK
:20C::RELA//NONREF
:16S:LINK
:16R:TRANSDET
:36B::PSTA//UNIT/1000,
::22F::TRAN//SETT
::22H::REDE//RECE
::22H::PAYM//FREE ->OTC IRF
:98A::ESET//20091209
:16R:SETPRTY
::95Q::DEAG//999910
:16S:SETPRTY
:16S:TRANSDET
:16S:TRAN
:16R:TRAN
:16R:LINK
```

```

:20C::RELA//09J87076
:16S:LINK
:16R:TRANSDET
:36B::PSTA//UNIT/999,
:22F::TRAN//SETT
:22H::REDE//DELI
:22H::PAYM//FREE
:22F::CCPT//YCCP                                ->IDF to CCP.A (stock exchange trade, Vienna SE)
:98A::ESET//20091209
:16R:SETPRTY
:95Q::REAG//240000
:16S:SETPRTY
:16S:TRANSDET
:16S:TRAN
:16S:FIN
:16R:FIN
:35B:ISIN AT0000606306
RAIFFEISEN INTERN.BANK-HOLD.AG AKTI
EN OHNE NENNWER
:93B::FIOP//UNIT/0,
:93B::FICL//UNIT/0,
:16R:TRAN
:16R:LINK
:20C::RELA//+09J87075
:16S:LINK
:16R:TRANSDET
:36B::PSTA//UNIT/416,
:22F::TRAN//SETT
:22H::REDE//RECE
:22H::PAYM//FREE
:22F::CCPT//YCCP                                ->IRF to CCP.A (stock exchange trade, Vienna SE)
:98A::ESET//20091209
:16R:SETPRTY
:95Q::DEAG//240000
:16S:SETPRTY
:16S:TRANSDET
:16S:TRAN
:16R:TRAN
:16R:LINK
:20C::RELA//882573305
:16S:LINK
:16R:TRANSDET
:36B::PSTA//UNIT/416,
:19A::PSTA//EUR18154,82
:22F::TRAN//SETT
:22H::REDE//DELI
:22H::PAYM//APMT                                ->OTC IDVP
:98A::ESET//20091209
:16R:SETPRTY
:95Q::REAG//227300                                ->ERSTE Group Bank
:16S:SETPRTY
:16S:TRANSDET
:16S:TRAN
:16S:FIN
:16R:FIN
:35B:ISIN AT0000908504
VIENNA INSURANCE GROUP STAMMAKTEN
OHNE NENNWER
:93B::FIOP//UNIT/0,
:93B::FICL//UNIT/0,
:16R:TRAN

```

:16R:LINK
:20C::RELA//+09J87085
:16S:LINK
:16R:TRANSDET
:36B::PSTA//UNIT/1018,
:22F::TRAN//SETT
:22H::REDE//RECE
:22H::PAYM//FREE
:22F::CCPT//YCCP *->IRF to CCP.A (stock exchange trade, Vienna SE)*
:98A::ESET//20091209
:16R:SETPRTY
:95Q::DEAG//240000
:16S:SETPRTY
:16S:TRANSDET
:16S:TRAN
:16R:TRAN
:16R:LINK
:20C::RELA//2576610
:16S:LINK
:16R:TRANSDET
:36B::PSTA//UNIT/433,
:19A::PSTA//EUR15801,28
:22F::TRAN//SETT
:22H::REDE//DELI
:22H::PAYM//APMT
:98A::ESET//20091209
:16R:SETPRTY
:95Q::REAG//243900 *->Clearstream Banking Luxembourg*
:16S:SETPRTY
:16S:TRANSDET
:16S:TRAN
:16R:TRAN
:16R:LINK
:20C::RELA//123456
:16S:LINK
:16R:TRANSDET
:36B::PSTA//UNIT/585,
:22F::TRAN//SETT
:22H::REDE//DELI
:22H::PAYM//FREE *->OTC IDF*
:98A::ESET//20091209
:16R:SETPRTY
:95Q::REAG//222100 *->Unicredit Bank Austria AG*
:16S:SETPRTY
:16S:TRANSDET
:16S:TRAN
:16S:FIN
:16S:SUBSAFE
:16R:SUBSAFE
:97A::SAFE//999999
:17B::ACTI//N
:16S:SUBSAFE
:16R:SUBSAFE
:97A::SAFE//999951
:17B::ACTI//N
:16S:SUBSAFE
:16R:SUBSAFE
:97A::SAFE//999974
:17B::ACTI//N
:16S:SUBSAFE
:16R:SUBSAFE

```

:97A::SAFE//999990
:17B::ACTI//N
:16S:SUBSAFE
:16R:SUBSAFE
:97A::SAFE//999910
:17B::ACTI//Y
:16R:FIN
:35B:ISIN AT0000612601
INTERCELL AG AKTIEN OHNE NENNWERT
:93B::FIOP//UNIT/1000,
:93B::FICL//UNIT/0,
:16R:TRAN
:16R:LINK
:20C::RELA//CAPM-ICLL 9/12
:16S:LINK
:16R:TRANSDET
:36B::PSTA//UNIT/1000,
::22F::TRAN//SETT
::22H::REDE//DELI
::22H::PAYM//FREE                                ->OTC IDF
:98A::ESET//20091209
:16R:SETPRTY
::95Q::REAG//999900                                ->Main account
:16S:SETPRTY
:16S:TRANSDET
:16S:TRAN
:16S:FIN
:16S:SUBSAFE

```

1.2.2 SWIFT MT 536 with CSD.A securities transactions (including reversal of a transaction)

```

:16R:GENL
:28E:1/ONLY
:20C::SEME//TR09231011850001
:23G:NEWM
:98C::PREP//20091211100251
:69A::STAT//20091211/20091211
:22F::SFRE//ADHO
:22F::CODE//COMP
:22F::STBA//SETT
:97A::SAFE//999900
:17B::ACTI//Y
:17B::CONS//Y
:16S:GENL
:16R:SUBSAFE
:97A::SAFE//999900
:17B::ACTI//Y
:16R:FIN
:35B:ISIN AT0000720008
TELEKOM AUSTRIA AG AKTIEN OHNE NENNWERT
:93B::FIOP//UNIT/8800,
:93B::FICL//UNIT/8800,
:16R:TRAN
:16R:LINK
:20C::RELA//509200042
:16S:LINK
:16R:TRANSDET

```

:36B::PSTA//UNIT/10,
:22F::TRAN//SETT
:22H::REDE//RECE
:22H::PAYM//FREE
:98A::ESET//20091211
:16R:SETPRTY
:95Q::DEAG//9232100
:16S:SETPRTY
:16S:TRANSDET
:16S:TRAN
:16R:TRAN
:16R:LINK
:20C::RELA//509200042
:16S:LINK
:16R:TRANSDET
:36B::PSTA//UNIT/10,
:22F::TRAN//SETT
:22H::REDE//DELI
:22H::PAYM//FREE
:98A::ESET//20091211
:25D::MOVE//REVE
:16R:SETPRTY
:95Q::DEAG//9232100
:16S:SETPRTY
:16S:TRANSDET
:16S:TRAN
:16S:FIN
:16S:SUBSAFE
:16R:SUBSAFE
:97A::SAFE//999951
:17B::ACTI//N
:16S:SUBSAFE
:16R:SUBSAFE
:97A::SAFE//999999
:17B::ACTI//N
:16S:SUBSAFE

1.2.3 SWIFT MT 536 nil statement (no transactions on CSD.A securities accounts)

:16R:GENL
:28E:1/ONLY
:20C::SEME// TR09354094593001
:23G:NEWM
:98C::PREP//20091209214300
:69A::STAT//20091209/20091209
:22F::SFRE//ADHO
:22F::CODE//COMP
:22F::STBA//SETT
:97A::SAFE//999900
:17B::ACTI//N
:17B::CONS//N
:16S:GENL



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