

*Delivery of Fund Prices*

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*and Taxes to OeKB*

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valid since August 2005

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Form of file	CSV - Separator ";"
Form for numbers	Please do only use decimal points (or comma) and no other delimiters. Example: 1234.56 or 1234,56 (how NOT to deliver the data: 100,000.23 / how to deliver the data: 100000.23)
Name of file	"<delivery_by>_<date YYYYMMDD>_<consecutive number>.csv" or "<delivery_by>_<date YYYYMMDD>_<time>.csv" Example : fidelity_20050125_0001.csv or fidelity_20050125_14502303.csv

- Please send us the daily amounts in one or more files with names as mentioned above in order to avoid the loss of files.
- Corrections can be sent in the same file or in another file in the same form. You have to mention this in column 6 "Action"
- Daily capital yields tax = all days the fund is trade able, the capital yields tax must be delivered. If f.e. a fund is traded only once a month delivery happens monthly; please mention this in column 8 "Fund trading frequency".
- Provided that you have filled column 4 with code "Q", please make sure to feed column 9. In case the fund is not subject to EU withholding tax according to the asset test, please send value 0 in column 5 AND "N" in column 9.
- Please use the following CSV-form:

Price data		
Column	Data records	Format
1	Price-Date → for code R, E, Z, AK, Q, T  Ex-Date → for code AD, AT, Q1, T1, AS  End of fiscal year → for code AE, AF, AG, AH, AI, Q2	YYYYMMDD or YYYY.MM.DD - mandatory
2	Currency code (ISO-4217)	X(3) - mandatory
3	ISIN	X(12) - mandatory
4	Code	X(2) - mandatory
5	Price/Tax Amount/Distribution	decimal - mandatory
6	Action	X(1) - optional Default: "N" "N" - New / Update "D" - Delete
7	Name of fund	X(45) - optional
8	Fund trading frequency	X(2) - mandatory, if not "D"  Default: "D" - Daily  "W" - Weekly  "M" - Monthly  "M2" - 2 per month  "Q" - Quaterly  "S" - Semi-annually  "A" - Annually  "I" - Irregularly/Others
9	EU-withholding tax according to the Asset Test  J: subject to EU-withholding tax  N: not subject to EU-withholding tax	X(1) - mandatory for code „Q“  "J" - in scope  "N" - out of scope

<b>Daily delivery</b>		
<b>Code</b>	<b>Description</b>	<b>mandatory/optional</b>
'R'	Net asset value	mandatory
'E'	Subscription price	optional
'Z'	Redemption price	optional
'AK'	Daily capital yields tax amount per share	mandatory KEST
'Q'	Daily EU-withholding tax amount per share	mandatory EU-QuSt
'T'	Daily TIS -> taxable income per share	mandatory EU-QuSt

<b>Periodical delivery</b>		
<b>Code</b>	<b>Description</b>	<b>mandatory/optional</b>
'AD'	Distribution per share	mandatory
'AT'	Distribution capital yields tax amount per share	mandatory KEST
'Q1'	Distribution EU-withholding tax amount per share	mandatory EU-QuSt
'T1'	Distribution TIS -> taxable amount of distribution	mandatory EU-QuSt

<b>Annual delivery</b>		
<b>Code</b>	<b>Description</b>	<b>mandatory/optional</b>
'AE'	Deemed distribution per shares according to the statements of the Austrian tax representative	obligatorisch
'AF'	Realized capital gains for private investors per share	obligatorisch
'AG'	Realized capital gains for corporate investors per share	obligatorisch
'AH'	Capital yields tax amount for deemed distribution per share (including realized capital gains) for private investors according to the statements of the Austrian tax representative	obligatorisch KEST
'AI'	Capital yields tax amount for deemed distribution per share (including realized capital gains) for corporate investors according to the statements of the Austrian tax representative	obligatorisch EU-QuSt
'Q2'	EU-withholding tax amount per share for deemed distribution	obligatorisch EU-QuSt
'AS'	Factor of split (=old numbers of shares/new numbers of shares) e.g. 0,1 (1:10)	optional

**» Example: Fondspreise-Anlieferung (New/Update)**

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2006.01.27;EUR;LU0012345678;R;73,23;N;Testfonds Lux (A);D
2006.01.27;EUR;LU0012345678;E;76.89;N;Testfonds Lux (A)
2006.01.27;EUR;LU0012345678;Q;0;N;Testfonds Lux (A);D;N
20051008;EUR;LU0012345678;Z;73,00;N;Testfonds Lux (A);D;
20051008;EUR;LU0012345678;AK;0,06
20050210;EUR;LU0012345678;AD;4,10;N
20051027;GBP;LU0567899999;Q;0,00056;N;;D;J
2004.12.31;EUR;LU0012345678;AE;4,37;N;Testfonds Lux (A)
2004.12.31;EUR;LU0012345678;AF;1,19
2004.12.31;EUR;LU0012345678;AG;1,11;N;Testfonds Lux (A);A
2004.12.31;EUR;LU0012345678;AI;1,02;N;Testfonds Lux (A)

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**» Example: Fondspreise-Löschung /Delete**

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2005.01.27;EUR;LU0012345678;E;76,89;D;Testfonds Lux (A)
20050127;EUR;LU0012345678;Z;73.00;D;Testfonds Lux (A);D;

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## Contact

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